

# Functional foods: consumer perception in the Federal District, Brazil

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## Abstract

Functional foods are part of a new concept of food, which when consumed together with a healthy, balanced and usual diet, promote health benefits. However, the dissemination of information on functional foods through governmental, scientific, and marketing agencies, among others, has led consumers to different interpretations of this food. The aim of this study was to analyze how consumers in the Federal District, Brazil, are interpreting the information, effects, and risks of functional foods on health. A survey was carried out with 111 respondents, containing a structured interview script for data collection. The questions in the script went through validation of semantics, content, and statistics, and the constructs (trust, medication, media, need, reward, and risk) were assessed by consumers using a seven-point Likert scale. Consumers believe in the beneficial effect that functional foods can have on health, and see it as a cure or disease prevention effect. The process of disclosing information about functional foods should be reevaluated at all levels, both governmental and commercial, so that the information is clearer and understandable for everyone. The relationships between socioeconomic variables were analyzed using Chi-squared tests and the consumers' perception of functional foods through Pearson's correlation coefficient. The Chi-squared tests indicated highly significant associations ( $p < 0.001$ ) between the variables marital status and being the main buyer of food at home, and between level of education and family income. The medication construct was the only one that showed a significant relationship with all the other dimensions.

**Keywords:** Acceptance. Marketplace. Reward. Perception. Functional foods. Health. Public. Media.

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## INTRODUCTION

In contemporary society, consumers are increasingly concerned about their health and well-being. This has led to their growing awareness of the effects of diet on their health, motivating changes in their eating habits<sup>1</sup>. Within this context, functional foods represent one of the areas of great interest to consumers, as well as for research and innovation in the food industry<sup>2</sup>.

However, the increase in consumer confidence in new products launched on the market depends on several factors, particularly information made available about the product. Within this context, functional foods have aroused consumer interest due to their assumptions widely disseminated through different means of communication<sup>3</sup>.

The term functional food has been gaining

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DOI: 10.15343/0104-7809.202145099109

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visibility for being associated, according to most of the population, with healthier and more natural eating habits<sup>4</sup>, for providing an improvement in the quality of life, and for preventing diseases related to food<sup>5</sup>. In fact, functional foods emerged in 1980 in Japan, when the local government launched a program to reduce costs of health insurance and medication, aimed mainly at the population that was aging prematurely, thus, encouraging any methodology that would improve the expectation of life in these individuals<sup>6</sup>. Currently, each international organization has a predefined policy on functional foods<sup>5,7</sup>. In summary, however, all definitions associated with functional foods involve the concept of foods or ingredients that promote some benefit to people's health.

Brazilian legislation does not use the term functional food, but food that are alleged to have functional and/or health properties<sup>8,9,10,11</sup>. However, according to common understanding, the term functional

food is conveniently well accepted, which has led consumers, in general, to be confused with nomenclatures and claims of properties that have not yet been scientifically demonstrated<sup>10,11</sup>. In this study, for the purpose of standardizing national and international nomenclature, the term functional food will be used.

Understanding consumers' beliefs and desires related to functional foods, becomes important to boost new technologies and improve marketing strategies. Among the new technologies, the development of products with improved nutraceutical and sensory properties is expected. With regards to marketing, it is expected that in addition to the dissemination of products, it will be able to offer detailed and unambiguous information about its properties. With this understanding, this study aimed to analyze how consumers in the Federal District, Brazil, perceive the information, effects, and risks of functional foods on health.

## METHOD

This study is based on a set of information of an exploratory nature, whose data collection consisted of a survey, with a structured interview script. Data collection was carried out using forms developed and answered on the Google Forms platform between October and November 2019 with consumers over 18 years old, who reside in Brasília and Administrative Units of the Federal District, who reported consuming functional foods. Participation in the study was voluntary, without identification and without a reward system. The invitation to participate in the research was carried out on the main social networks by the authors. Before starting the survey, the participants confirmed the consent of the informed consent term, also made available on the *Google Forms* platform.

The survey structure was developed considering the consumers' perception of functional foods and socioeconomic parameters<sup>12,13,14</sup>.

The survey was structured so that the respondent answered objectively socioeconomic questions (by only choice), and the other questions were related to their perception of functional foods, by indicating one point within the Likert scale of 7 (seven) points. The values were represented as follows: 1 - strongly disagree; 2 - strongly disagree; 3 - slightly disagree; 4 - indifferent; 5 - slightly agree; 6 - strongly agree; 7 - totally agree. The questions related to the perception of functional foods (answered by the Likert scale) were grouped according to six aspects (constructs): trust, medication, media, need,

reward, and risk.

The survey questions underwent semantic and content validation by 15 professionals, with qualifications in nutrition, agribusiness, food engineering, or similar areas. These issues were analyzed in terms of: (a) Language clarity - how clear and adequate the language is; (b) Practical relevance - relationship between the practical relevance of the issue and the researched context; (c) Theoretical relevance - scientific relevance of the question to the study carried out. This validation was also counted on the Likert scale score of 7 (seven) points.

The questions regarding consumers' perception of functional foods were also validated by statistical analysis. For this validation, a pre-test of the survey application was carried out in 30 interviewees, applying Cronbach's alpha coefficient<sup>15,16</sup> for each construct. The results of the alpha Cronbach indicate the reliability of the constructs

applied in the study, considering following values and their indications:  $0.9 \leq \alpha$  - excellent reliability;  $0.8 \leq \alpha < 0.9$  - good reliability;  $0.7 \leq \alpha < 0.8$  - acceptable reliability;  $0.6 \leq \alpha < 0.7$  - questionable reliability;  $0.5 \leq \alpha < 0.6$  - poor reliability;  $\alpha < 0.5$  - unacceptable reliability<sup>17</sup>. According to the author, if any questionnaire construct displays reliability below 0.6, the questions should be reformulated, and the validation process restarted again. All validation items used the 7 (seven) point Likert scale.

Descriptive analysis was performed to characterize the study population, with calculations of summary measures.

Associations between socioeconomic variables were analyzed using Chi-squared tests considering a significance of 0.05. The possible relationships between the constructs trust, medication, media, need, reward, and risk were analyzed in Pearson's correlation tests considering a 0.05 significance.

## RESULTS

The result of the survey's validation (average of 6.0 - equivalent to strongly agree) indicated that the questions presented language clarity, practical relevance, and theoretical relevance, thus, reformulating the questions was unnecessary.

The validation of the constructs developed to assess consumers' perception of functional foods by Cronbach's alpha coefficient, indicated the following values: confidence - 0.812; medicine - 0.700; media - 0.845; need - 0.820; reward - 0.862; risk - 0.654; all constructs together - 0.874. As all values of the constructs were higher than 0.6, the questions were applied to the other interviewees, without the need for reformulation.

The survey results (Table 1) revealed that

the majority of respondents (N=111) were male (76.58%), up to 35 years old (61.26%), single (59.46%), did not have children under the age of six (74.77%), did not care for an older person over 65 years of age (84.68%), the person interviewed and was the main buyer of food at home (54.95%), had no type of diet restriction (74.77%), and believed that daily consumption of functional foods could prevent some disease (95.50%). Regarding the level of education, there was a dispersion between the categories, highlighting that 39.64% had an some college, no degree and 37.84% had post-graduate studies. Similarly, regarding family income, there was also a certain homogeneity where the numbers of respondents in the categories "up to 2 minimum wages", "2 to 4 minimum wages",

and “4 to 10 minimum wages” varied from 25.23% to 27.93%.

The assessment of associations between socioeconomic variables using the Chi-squared tests indicated that there were associations between five groups (Table 2). The strongest relationships ( $p < 0.001$ ) were obtained between the variables of marital status and main buyer of food at home, and between level of education and family income.

The analysis of linear correlations by

Pearson's tests indicated that the medication construct was positively and significantly related to all the others. In addition to these, significant correlations were detected between the constructs need and trust, need and reward, as well as trust and reward (Table 3).

The association between education level and family income (Table 3) is detailed in Table 4, where it can be seen that the highest incomes were restricted to interviewees who had postgraduate degrees.

**Table 1** – Socioeconomic, health, and functional food parameters of the interviewees. Federal District, Brazil, 2019.

Variable Evaluated	Parameter	N (number of individuals)	%
<b>Sex</b>	Male	85	76.58
	Female	26	23.42
<b>Age</b>	18 to 25	34	30.63
	26 to 30	14	12.61
	31 to 35	20	18.02
	36 to 40	17	15.32
	41 to 45	15	13.51
	More than 45	11	9.91
<b>Educational Level</b>	Graduated high school	6	5.41
	Bachelor's degree	11	9.91
	Incomplete higher education	44	39.64
	Post-graduate degree	42	37.84
	Some post-graduate, no degree	8	7.21
<b>Family Income</b>	Up to 2 minimum wages	31	27.93
	From 2 to 4 minimum wages	28	25.23
	From 4 to 10 minimum wages	31	27.93
	From 10 to 20 minimum wages	16	14.41
	Above 20 minimum wages	5	4.50
<b>Marital Status</b>	Married	40	36.04
	Divorced	5	4.50

*to be continued...*

...continuation table 1

Variable Evaluated	Parameter	N (number of individuals)	%
	Single	66	59.46
Has children under 6 years old at home	No	83	74.77
	Yes	28	25.23
Has an elderly person above 65 years old at home	No	94	84.68
	Yes	17	15.32
Main Buyer of food at home	Father or mother	37	33.33
	Partner	10	9.01
	The person interviewed	61	54.95
	Another person	3	2.70
Some family member has some kind of dietary restriction	No	80	72.07
	Yes	31	27.93
The interviewee has some kind of dietary restriction	No	83	74.77
	Yes	28	25.23
Daily consumption of functional foods can prevent some of the diseases	No	5	4.50
	Yes	106	95.50

Source: research data.

**Table 2** – Values of Chi-squared statistics and evaluation of the significance between the relationships of the socioeconomic variables. Federal District, Brazil, 2019.

	1	2	3	4	5	6	7	8
1	---	2 <sup>ns</sup>	4 <sup>ns</sup>	0 <sup>ns</sup>	1 <sup>ns</sup>	2 <sup>ns</sup>	7 <sup>ns</sup>	0 <sup>ns</sup>
2		---	18 <sup>ns</sup>	18 <sup>*</sup>	4 <sup>ns</sup>	3 <sup>ns</sup>	37 <sup>***</sup>	3 <sup>ns</sup>
3			---	53 <sup>***</sup>	2 <sup>ns</sup>	6 <sup>ns</sup>	32 <sup>**</sup>	9 <sup>ns</sup>
4				---	3 <sup>ns</sup>	4 <sup>ns</sup>	12 <sup>ns</sup>	10 <sup>*</sup>
5					---	0 <sup>ns</sup>	5 <sup>ns</sup>	0 <sup>ns</sup>
6						---	7 <sup>ns</sup>	0 <sup>ns</sup>
7							---	1 <sup>ns</sup>
8								---

Where: 1 - gender; 2 - marital status; 3 - educational level; 4 - family income; 5 - has children under 6 years old at home; 6 - has elderly people over 65 years old at home; 7 - main food buyer at home; 8 - has some kind of dietary restriction. ns- not significant (p>0.05); \* significant (p<0.05); \*\* significant (p<0.01); \*\*\* significant (p<0.001).  
Source: research data.

**Table 3** – Pearson's linear correlation values, with significance comparing Likert scale values for relationships between constructs related to the perception of functional food consumers. Federal District, Brazil, 2019.

Construct	Medication	Media	Need	Reward	Risk
Trust	0.565***	0.150 <sup>ns</sup>	0.677***	0.530***	0.055 <sup>ns</sup>
Medication	----	0.198*	0.751***	0.535***	0.273**
Media		----	0.102 <sup>ns</sup>	-0.021 <sup>ns</sup>	0.180 <sup>ns</sup>
Need			----	0.597***	0.036 <sup>ns</sup>
Reward				----	-0.037 <sup>ns</sup>

<sup>ns</sup> not significant (p>0.05); \* significant (p<0.05); \*\* significant (p<0.01); \*\*\* significant (p<0.001). Source: research data.

**Table 4** – Number (N) and percentage (%) of respondents according to the level of education and family income. Federal District, Brazil, 2019.

Minimum wages	High school		Some college, no degree		Bachelor's degree		Some post-graduate, no degree		Post-graduate degree		Total N
	N	%	N	%	N	%	N	%	N	%	
Up to 2	3	9.68	20	64.52	4	12.90	2	6.45	2	6.45	31
2 to 4	1	3.57	13	46.43	4	14.29	3	10.71	7	25.00	28
4 to 10	2	6.45	10	32.26	3	9.68	2	6.45	14	45.16	31
10 to 20	0	0.00	1	6.25	0	0.00	1	6.25	14	87.50	16
Above 20	0	0.00	0	0.00	0	0.00	0	0.00	5	100.00	5

Source: research data.

## DISCUSSION

Regarding the profile of the interviewees, most of whom were at the age of 35, there is no global consensus on the classification of the population's age group, especially in adulthood. However, it can be considered that there are three periods in adulthood: the young adult (between 20 and 40 years old approximately), the mature adult (40 to 60 years old approximately), and the elderly adult (above 60 years old)<sup>18</sup>. In Brazil, the Youth

Statute classifies young people between 15 (fifteen) and 29 (twenty-nine) years of age<sup>19</sup>. Regardless of the classification, the majority of respondents, up to 35 years old (Table 1), can be considered as young adults, whose life stage is characterized by the search for experiencing a great diversity of experiences and new things, such as different foods, even functional foods.

The fact that most of the research

participants (94.6%) who claimed to consume functional foods, had contact with higher education in undergraduate and graduate courses (Table 1), as already described in the literature<sup>20</sup>, must imply consumption with a higher level of education.

Despite the purchasing power of a family being one of the determining factors for the choice of food<sup>21</sup>, in the present study there was a relatively homogeneous distribution in relation to the interviewees' income. In this study, the correlation between family income and purchasing power was not tested, but the results indicate a positive significance ( $p < 0.001$ ) between family income and education level (Table 2). This indicates that the reciprocal increase between family income and level of education, expands the amount of information concerning new foods and the possibilities of acquisition and consumption of functional foods.

Children, due to their rapid growth and physiological immaturity, need adequate food<sup>22</sup>, as well as the elderly, who during aging undergo anatomical, functional, and physiological changes in the body<sup>23</sup>. The fact that the research result shows that 74.77% (Table 1) did not have children under 6 years old at home and 84.68% did not live with elderly people over 65 years old, indicates that the interviewees may have greater flexibility in their diet and be more receptive to functional foods. This greater acceptance of functional foods can also be associated with the fact that both the interviewees (74.77%) and their family members (72.07%) did not have food restrictions (Table 1).

The concern with preventing diseases is increasingly present in the general population<sup>24,25,26,27</sup> who are looking for solutions to improve their health. Although this behavior is important, the survey showed that 95.50% of the interviewees (Table 1) stated that they believe that the consumption of functional foods, daily, can prevent some types of diseases. This conception contradicts

the rules of the National Health Surveillance Agency - Brazil (ANVISA)<sup>8,9</sup>, which prohibits the indication that functional foods have medicinal or therapeutic properties. Thus, although health concerns are important, consumers are making mistakes based on the information available. Similar results were observed in research with a group of gastronomy and nutrition students, who associated functional foods with disease prevention and health promotion<sup>28</sup>.

The indication that the marital status has a significant relationship with the variables of family income ( $p < 0.05$ ) and main buyer ( $p < 0.001$ ) (Table 2) is probably related to the family structure. In this sense, it was observed that among married people (36.03%,  $n = 40$ ) family income is mainly between 4 to 10 and 10 to 20 minimum wages, probably from both spouses. This behavior was seen in other studies, where it was noticed that regardless of the family structure and its objectives, the family income increases if both spouses work in the labor market<sup>29,30</sup>.

In the case of the correlation with the main buyer variable, it was observed that the main difference concerning that the main buyer of food for single people was their father and mother ( $n = 35$ ), while for married ( $n = 30$ ) and divorced ( $n = 3$ ) people, it was the interviewee themselves. Related research on healthy choices also highlights the father or mother figure as the food providers at home, either by purchasing power or by choosing the type of food<sup>31,32,33</sup>.

The significant relationship between the level of education and the variables of family income ( $p < 0.001$ ) and the main buyer of food at home ( $p < 0.01$ ) (Table 2) implies that both the greater purchasing power and greater knowledge are associated with the higher level of education. In this sense, the interviewees who had more than 20 minimum wages ( $n = 5$ ) presented, in their totality, the highest degree of education in the entire group. On the other hand, as the

number of minimum wages decreased, there was a corresponding decrease, both in the level of education and in the proportion of respondents (Table 4). Similar behavior was found in research on influencing factors in the purchase of meat in supermarkets, observing positive and significant relationships between income, education level, and frequency of meat consumption<sup>34</sup>.

The family income variable showed a significant association with having some type of dietary restriction ( $p < 0.05$ ) (table 2). A progressive increase in the declaration of having some type of food restriction as the salary range increased was also noticed. Specifically, the proportion of respondents who declared that they have food restrictions were 16.13% (up to 2 minimum wages), 21.43%, (2 to 4 minimum wages), 22.58% (4 to 10 minimum wages), and 43.75% (10 to 20 minimum wages), respectively. A possible cause for the progressive increase of these percentages would be the greater access to preventive medical care as the family income increases, providing an increase in diagnoses related to food restrictions. Another possible cause would be the population's greater access to knowledge about intolerance and/or allergies, which were previously unknown among the general public.

Pearson's correlation values between the constructs (Table 3) demonstrated that more than 50% of the relationships were significant. It is worth mentioning that there were a greater number of significant relationships that occurred between medicine ( $n=4$ ), trust and reward ( $n=3$ ).

The four significant paired relationships between medicine with media, need, reward and risk (Table 3) indicate, in addition to their importance, that consumers consider these constructs together, as already described in the literature<sup>2,20</sup>.

Consumers are heavily influenced by marketing, which leads companies to research

the profile of consumers to better understand them and, thus, optimize advertising efforts and resources that best influence consumers in choosing their products. In the case of functional foods, the media, in general, encourages consumers to believe that there are no risks, and that consumption can lead to improved health<sup>14,35</sup>. The information transmitted by health professionals also tends to have greater credibility and confidence, than other professionals<sup>2</sup>.

However, depending on the focus and the way in which information is transmitted by the media, consumers believe that there is some risk in the consumption of these foods. When describing the process of making functional foods, using modern technology, it can lead consumers to believe that they are less natural than conventional foods. Thus, functional foods can be avoided by consumers who value natural foods<sup>20</sup>.

When consumers consider functional foods to be safe, they believe they can improve health and the result obtained from the benefits of functional foods is considered a reward. Thus, the constant consumption of functional foods becomes a necessity to promote a healthy lifestyle<sup>20</sup>.

The trust construct is related to items that describe consumers' attitude towards information and the promises of the action of functional foods on people's health. Briefly, this construct describes how much consumers believe in the active components discovered and described in scientific research<sup>36</sup>. Although this study does not analyze consumers' understanding of the active components of functional foods, it can be seen that there is a positive correlation (Table 3) between the constructs trust and medication, trust and need, and trust and reward (Table 3). This leads us to believe that consumers trust information and promises about functional foods and, mainly, they believe that a traditional diet is not enough to ensure adequate nutrients for maintaining



health thus needing to complement the diet with manipulated and/or concentrated nutrients.

In this context, there is a relationship between the consumption of functional foods and the need to introduce these foods into the diet<sup>37</sup> as a way to reduce the risk of diseases<sup>21,37</sup>. However, the widespread use of functional foods, accompanied by promises of health benefits, which are often difficult to verify in the short term, can promote errors

and confusion among consumers regarding the choice of an adequate and balanced diet<sup>37</sup>.

For consumers, the predisposition for consuming functional foods is related to several factors, as can be seen through the various relationships found in Table 3. However, the reward of having a healthy life, with low risk of developing diseases, makes consumers more inclined to buy and consume functional foods<sup>20,38</sup>.

## CONCLUSION

The results derived from this study provide information about the complex scenario of how consumers in the Federal District understand what functional foods are, their effects, and risks of consuming them on their health.

The bivariate analyses showed positive correlations between the medication construct and the others. Consumers believe they understand that functional foods, alone or together, can help treat diseases. On the other hand, when the risk construct was assessed, it was noticed that some consumers are suspicious about the effects that functional foods can have on the body.

These contradictory perceptions indicate the need to reflect on the main factors related to the acceptance or not of functional foods. What is their clarity of the information available in the media and/or on product labels? Is there the presence of any chronic illnesses in these consumers or in a family member? What is their level of education? What is their social class? What is their purchasing power?

This study indicated that each individual is influenced by multiple factors, but regardless of the factors involved, any and all information about functional foods should be presented in simple and direct language to avoid mistakes in food choices.

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Received in november 2020.

Accepted in february 2021.